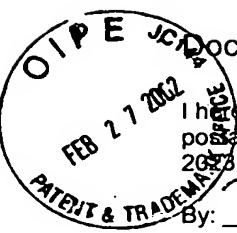


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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

By: [Signature]

Date: February 12, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Karl Joachim Ebeling et al.  
Applic. No. : 10/047,613  
Filed : January 15, 2002  
Title : Vertical Laser Diode with Means for Beam Profile Forming

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

United States Patent No. 5,574,738 (Morgan), dated November 12, 1996;

United States Patent No. 6,026,108 (Lim et al.), dated February 15, 2000;

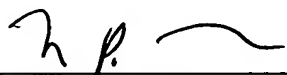
German Published, Non-Prosecuted Patent Application DE 196 46 015 A1 (Kish jun. et al.), dated June 5, 1997, surface emitting vertical cavity laser with transparent substrate, produced using semiconductor wafer bonding;

German Published, Non-Prosecuted Patent Application DE 199 08 426 A1 (Wipiejewski), dated September 7, 2000, vertical resonator laser diode having a light absorbing layer;

Helmut Zarschizky et al.: "Diffraktive Linsen - moderne microoptische Elemente"  
[diffractive lenses - modern micro optical elements], Siemens-Zeitschrift Special FUE  
Herbst 1994, pp. 9-12.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,

  
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For Applicants

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Date: February 12, 2002

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